

10/023408

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**RECEIVED**  
**CENTRAL FAX CENTER**  
**MAR 03 2006**

**Certificate**  
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**of Correction**

DATE: 3/3/2006

Number of Pages to Follow (including cover sheet): 6

SEND TO: United States Patent Office

**Certificate of Correction Branch**

Fax #: 571-273-8300

FROM: Amy J. Pattillo

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Docket No. AUS920010838US1 Patent 6,956,935 Atty: AJP

Applicant: BROWN ET AL

X Certificate of Correction

X Certificate of Facsimile

X Transmittal Letter

X Copy of Information  
Disclosure statement Initialed by  
Examiner

Deposit Acct. No. 09-0447

Fees: Amendment \_\_\_\_\_ Notice of Appeal \_\_\_\_\_ Appeal Brief \_\_\_\_\_ Other X

**RECEIVED  
CENTRAL FAX CENTER****MAR 3 2006****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re application: **BROWN ET AL.**§ Attorney Docket No.: **AUS920010838US1**

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Patent No. 6,956,935

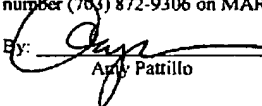
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Issued OCT. 18, 2005

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<p align="center"><b><u>Certificate of Transmission Under 37 C.F.R. § 1.8(a)</u></b></p> <p>I hereby certify this correspondence is being transmitted via facsimile to the Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile number (703) 872-9306 on MARCH 3, 2006.</p> <p>By:  3/3/06 Amy Patillo</p>
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Certificate of Correction Branch  
Commissioner for Patents  
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Alexandria, VA 22313-1450

**TRANSMITTAL AND REQUEST FOR CORRECTION OF OFFICE MISTAKE**

1. Applicant notes multiple errors in the claims issued in patent 6,956,935. One Certificate of Correction sheet is submitted herewith noting the page and section for each error.
2. Applicants respectfully assert that all the errors noted in the Certificate of Correction sheet are of consequence and are the mistake of the Office. In particular, the errors are of consequence because the errors are omissions of U.S. Patent Documents that should be listed under "references cited", the errors are not of a minor typographical nature, and the errors are not readily apparent to one skilled in the art. Applicants cited the U.S. Patent Documents 6,122,357 and 6,178,230 in an Information Disclosure Statement filed 4/8/2002. The Examiner reviewed the Information Disclosure Statement filed 4/8/2002, but failed to initial items "AJ" and "AK" which refer to U.S. Patent Documents 6,122,357 and 6,178,230. In a telephone interview between Examiner Quoc Tran and Applicants' representative Amy J. Patillo on February 28, 2006 at 10:30 AM EST, the Examiner stated that he considered U.S. Patent Documents 6,122,357 and 6,178,230 in determining the patentability of Applicants patent 6,956,935, but that it was his error that even though the documents were considered, the Examiner did not initial next to these documents. In addition, the Examiner noted that the error likely arose because of the position of internal date stamps placed on the Information Disclosure Statement by the USPTO over the listed references. Applicants have attached a copy of the two sheets of the Information Disclosure Statement filed by Applicants on 4/8/2002, and attached in an Office Action dated

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Brown et al. - - 6,956,935

2/23/2004. Because the Examiner made an error in failing to initial items "AJ" and "AK", although the associated references were reviewed, U.S. Patent Documents 6,122,357 and 6,178,230 were not included in the listing of references cited in the patent issued to Applicants. Applicants respectfully assert that based on the Examiner's statements, the errors are the mistake of the Office.

3. Applicants submit the Certificate of Correction sheet and request correction of the mistakes by the Office under 37 CFR 1.322. In particular, Applicants respectfully request that due to the nature of the mistakes, of references missing from the listing of the references cited, that the Director issue a corrected patent in lieu of the certificate of correction, without expense to the patentee, as allowed under 37 CFR 1.322(b).
4. While Applicants assert that the errors listed in the Certificate of Correction sheet is the mistake of the Office, the Commissioner is authorized to charge payment of any necessary fees for issuance of the certificate of correction or credit any overpayments to deposit account 09-0447.

Respectfully submitted,

 3/3/06

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PTO/SB/44 (04-04)

Approved for use through 04/30/2007. OMB 0651-0033

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(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,956,935

DATED : October 18, 2005

INVENTOR(S) : Michael Wayne Brown, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page, under "References Cited", "U.S. Patent Documents", insert:

--6,122,357 A 9/2000 Farris et al. 379/207--

--6,178,230 A 1/2001 Borland 379/67.1 --

MAILING ADDRESS OF SENDER:

IBM CORPORATION, IPLAW DEPT  
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AUSTIN, TEXAS 78758

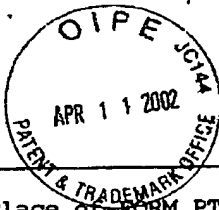
PATENT NO. 6,956,935

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Serial No. 10/023,408  
Applicant: Brown et al.  
Filing Date: 12/17/01  
Group: 2643  
Atty. Docket No. AUS920010838US1

## Reference Designation

## U. S. PATENT DOCUMENTS

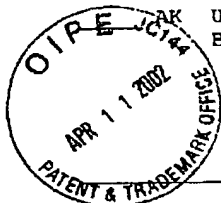
Examiner Initial	Document Number	Date	Name	Class	Sub- class	Filing Date if Appropriate	
<u>Q1</u>	AA	US 5,499,288	3/12/96	Simultaneous Voice Recognition and Verification to Allow Access to Telephone Network Services	379	88	3/22/94
	AB	US 5,673,404	09/30/97	End-User Customizable Feedback Display for Windowed Applications	395	347	12/20/95
	AC	US 5,790,637	8/4/98	Extended Voice Messaging	379	67	2/16/96
	AD	US 5,915,001	6/22/99	System and Method for Providing and Using Universally Accessible Voice and Speech Data Files	379	88.22	11/14/96
	AE	US 5,940,476	8/17/99	System and Method for Identifying an Unidentified Caller	379	88.02	6/28/96
	AF	US 5,946,654	8/31/99	Speaker Identification Using Unsupervised Speech Models	704	246	2/21/97
	AG	US 6,038,305	3/14/00	Personal Dial Tone Service with Personalized Caller ID	379	207	8/1/97
	AH	US 6,058,364	5/2/00	Speech Recognition of Customer Identifiers Using Adjusted Probabilities Based on Customer Attribute Parameters	704	252	11/20/97
<u>Q1</u>	AI	US 6,101,242	8/8/00	Monitoring for Key Words with SIV to Validate Home Incarceration	379	88.02	12/23/97

*Ant* 2/12/04

AJ US 6,122,357 9/19/00

Providing Enhanced  
Services Through Double  
SIV and Personal Dial  
Tone

379 207 4/8/98

US 6,178,230 1/23/01  
B1System and Method for  
Identifying a Callee of  
an Incoming Telephone  
Call

379 67.1 11/13/97

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APR 15 2002

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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
Q7 AL	JP 10294784A	11/04/98	Japan	HO4M1	57	No
AM	JP 8139797A	05/31/96	Japan	HO4M1	274	No
AN	EP 0585004A2	03/02/94	European	HO4M3	44	Yes
Q7 AO	EP 0676882A2	10/11/95	European	HO4M1	27	Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
Q7 AP	WPAT	Derwent	1994-067503			
AQ	WPAT	Derwent	1995-346415			
AR	WPAT	Derwent	1996-315499			
Q7 AS	WPAT	Derwent	1999-031530			

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.